



IDS filed 08/02/2006 (AS SAME AS IDS filed 12/14/06)

Sheet 1 of 4

FORM PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)		ATTY. DOCKET NO. NET-P01-2288.01	SERIAL NO. 10/772,017
		APPLICANT Stager et al.	
		FILING DATE February 4, 2004	GROUP 2114
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
Asa		"Alacritus Software's Securitius I: Pointing the Way to Virtual Tape Libraries" Aberdeen Group, Inc., March 2002.	
		"Continuous Data Protection: Business Continuity for the Era of Networked Storage: An Executive White Paper" Aberdeen Group, Inc., July 2003.	
		"Alacritus Software's Chronospan: Make Time for Continuous Data Protection" Aberdeen Group, Inc., October 2003.	
		Hill, David "Alacritus Software's Securitius: Defining the Way to Virtual Tape Libraries" Aberdeen Group, Inc., July 2003.	
		"Alacritus Software's Securitius: Defining the Way to Virtual Tape Libraries" Aberdeen Group, Inc., July 2003.	
		"Product Brief: Rhapsody/Alacritus-Secritius/XPath Virtual Tape in the Fabric" The Enterprise Storage Group, August 2002.	
		"Alacritus Software Announces Securitius I, The Industry's First Virtual Tape Library Solution: Securitius I Heralds Advent of 'Disruptive Technology' that Serves as Replacement to Tape Libraries" Alacritus Software, Inc., June 25, 2001.	
		"Alacritus, Hitachi CP and Nissho Team to Create Virtual Tape Library Appliance: Industry's First Virtual Tape Library Appliance to Replace Storage Tape Libraries" Alacritus Software, Inc., June 25, 2001.	
		"Hitachi CP, Nissho, and Alacritus Software Bring Virtual Tape Library Appliance Solution to Market: Three Companies Join to Deliver VTLA Smart Guard - A Disk Subsystem Product that Functions as a Virtual Storage Tape Library" Alacritus Software, Inc., October 3, 2001.	
		Trimmer, Don, "Tape Free Backup/Recovery: Requirements and Advantages: Virtualization Technology Will Encompass Many Applications, One of the Most Significant Possibly Being Backup/Recovery" InfoStor, March 2002.	
Don		"Alacritus Software Announces Virtual Tape Library Support for Legato NetWorker Data Protection Solution" Alacritus Software, Inc., January 8, 2002.	

EXAMINER <i>Buell</i>	DATE CONSIDERED 01/05/07
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<i>PM</i>		Camphuisen, Alicia, "Hitachi Inks OEM Deal with Legato" Knapp Comm., July 17, 2002.	
		"Alacritus Announces Disk-Based Successor to Tape" Knapp Comm., August 21, 2002.	
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		"Securitus I White Paper: Disk Based Data Protection from Alacritus Software" Alacritus Software, Inc., July 2001.	
		"Alacritus Software FAQs" Alacritus Software, Inc., July 2001.	
		"Disk-Based Data Protection" Alacritus Software, Inc., July 2001.	
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		Payack, Paul JJ, "Alacritus Lines Up OEM Partners for Virtual Tape Library Push" The (451) Storage & Systems, October 4, 2002.	
		Payack, Paul JJ, "Alacritus Software Announces Continuous Data Protection with New Chronospan Technology" October 28, 2003.	
<i>PM</i>		Payack, Paul JJ, "Alacritus Software Announces New Customers for Securitus VTLA" Alacritus Software, January 13, 2004.	
<b>EXAMINER</b> <i>Paul Chad</i>		<b>DATE CONSIDERED</b> <i>01/05/07</i>	

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ASU		Baltazar, Henry "Weaving Apps Into SAN Fabric" eWEEK, March 24, 2003.	
		Baltazar, Henry "More Intelligence is on the Way" eWEEK, September 15, 2003.	
		Barrett, Alex "The Case for Network Smarts" Storage Magazine, June 2003.	
		"Securitus White Paper: Disk Based Data Protection from Alacritus Software" Alacritus Website, October 2003.	
		"Manageability: Securitus v. Tape" Alacritus Website, October 2003.	
		"The SNIA Data Management Forum Created to Tackle Data Protection and Information Lifecycle Management Issues: Enhanced Backup Solutions Initiative Rolls Efforts into New SNIA Forum" Storage Networking Industry Association, October 13, 2003.	
		"No Changes Required: Securitus v. Tape" Alacritus Website, October 2003.	
		"Customer Success" Alacritus Website, October 2003.	
		"Chronospan" Alacritus Website, October 2003.	
		"Alacritus Software Announces Securitus I, the Industry's First Virtual Tape Library Solution: Securitus I Heralds Advent of 'Disruptive Technology' that Serves as Replacement to Tape Libraries" Alacritus Software, Inc., April 9, 2002.	
ASU		Biggar, Heidi, "Disk and Tape Forge New Partnership in Backup Arena" InfoStor, November 2001.	
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1a		Preston, W. Curtis, "Surprise! Cheap Disks Cure Slow Backup" Storage Magazine, June 1, 2002.	
		"Alacritus, Hitachi CP and Nissho Team to Create Virtual Tape Library" internetnews.com, June 25, 2001.	
		"Alacritus Software and Rhapsody Networks to Develop Breakthrough Backup Solutions for Storage Networks: Companies to Provide First Network-Intelligent Virtual Tape Solution Resulting in Dramatic ROI, Increases in Data Backup Performance and Scalability" Alacritus Software, July 8, 2002.	
		Komiega, Kevin, "Vendor Pushes Disk Backup Over Tape" SearchStorage.com January 10, 2003.	
		"Testimonials" Alacritus Website, October 2003.	
		"Seamless Integration" Alacritus Website, October 2003.	
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		"Securitus" Alacritus Website, October 2003.	
		"Scalability: Securitus v. Tape" Alacritus Website, October 2003.	
1a		"Strengths: Securitus v. Tape" Alacritus Website, October 2003.	


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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PSH	*	6,098,148	08/2000	Carlson			
	*	6,128,698	10/2000	Georgis			
	*	6,131,142	10/2000	Kamo et al.			
	*	6,163,856	12/2000	Dion et al.			
	*	6,173,359	01/2001	Carlson et al.			
	*	6,195,730	02/2001	West			
	*	6,225,709	05/2001	Nakajima, Hiroshi			
	*	6,247,096	06/2001	Fisher et al.			
	*	6,260,110	07/2001	LeCrone et al.			
	*	6,266,784	07/2001	Hslao et al.			
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✓	*	6,353,837	03/2002	Blumenau			
one	*	6,360,232	03/2002	Brewer et al.			

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✓	•	6,389,503	05/2002	Georgis et al.			
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